

# Group-Equivariant Neural Networks in Computer Vision

---

Wern Juin Gabriel Ong

Max Planck Institute for Mathematics in the Sciences & Bowdoin College

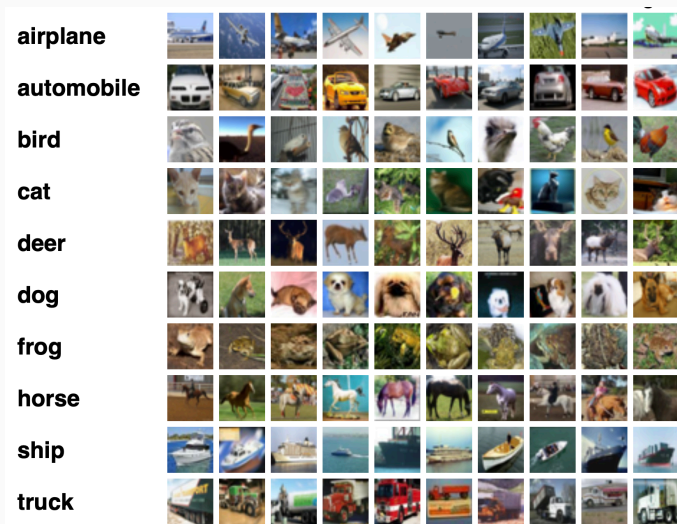
# Table of contents

1. Convolutions and Computer Vision
2. Equivariance of Functions
3. Equivariance of Neural Networks
4. Conclusion

# Convolutions and Computer Vision

---

# A Task in Computer Vision



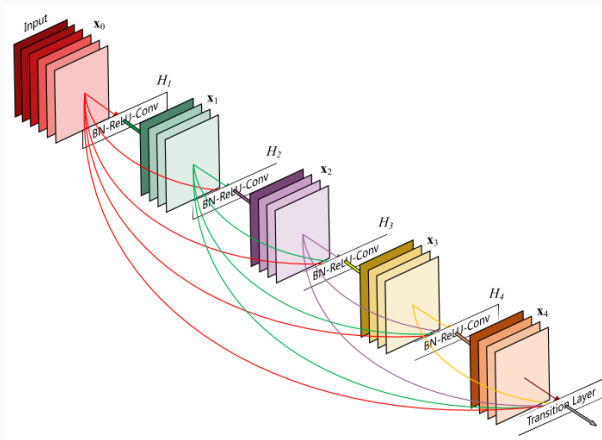
# A Task in Computer Vision – Restated

Our eyes and brain together form a function  $\mathbb{R}^{32 \times 32 \times 3} \rightarrow [10]$  taking an image in CIFAR-10 to its class.

## Question

Can we find an approximator (perhaps a deep neural network  $\mathcal{N}$ )  $\mathbb{R}^{32 \times 32 \times 3} \rightarrow [10]$  such that it correctly takes an image in CIFAR-10 to its class?

# Convolutions in Computer Vision

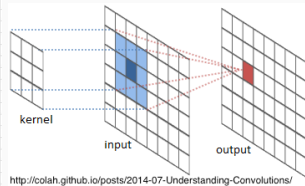


Residual networks, that use convolutional layers, achieve industry leading performance on the CIFAR-10 data set [3].

Figure from [2].

# Convolutions in Computer Vision

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y
1																									
2																									
3																									
4																									
5																									
6																									
7																									
8																									
9																									
10																									
11																									
12																									
13																									
14																									
15																									
16																									
17																									
18																									
19																									
20																									
21																									



Let us recall the mathematics behind convolutional layers [9].

- We have a function on our input space of images.
- We feed translated copies of an image to the function.
- We store the function output for each translation.

# Equivariance of Functions

---



# Convolutional Layers as Equivariant

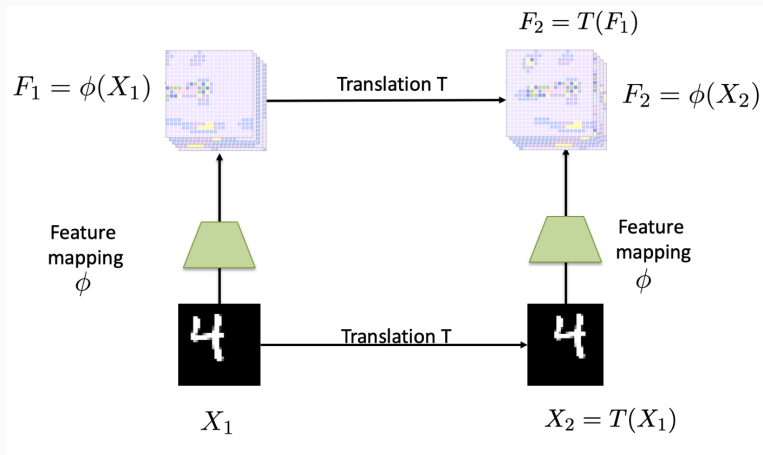


Figure from [10].

# Equivariance of Functions

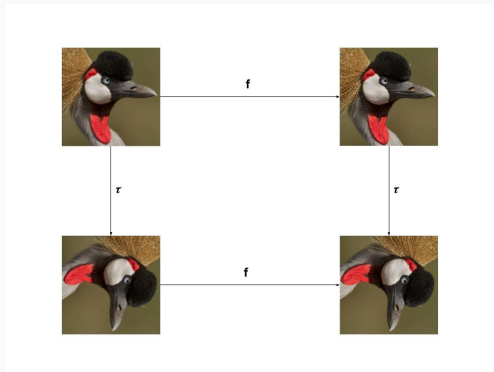
## Definition (Equivariance) [7]

Let  $G$  be a finite group and  $f : \mathbb{R}^n \rightarrow \mathbb{R}^m$  where  $G \curvearrowright \mathbb{R}^n$  and  $G \curvearrowright \mathbb{R}^m$ . Suppose that  $\varphi : G \hookrightarrow S_n$  and  $\psi : G \hookrightarrow S_m$  are given.  $f$  is  $G$ -equivariant if and only if  $f(\varphi(g) \cdot \vec{x}) = \psi(g) \cdot f(\vec{x})$  for all  $g \in G$  and any  $\vec{x} \in \mathbb{R}^n$ .

$$\begin{array}{ccc} \mathbb{R}^n & \xrightarrow{f} & \mathbb{R}^m \\ \downarrow \varphi(g) & & \downarrow \psi(g) \\ \mathbb{R}^n & \xrightarrow{f} & \mathbb{R}^m \end{array}$$

$$\begin{array}{ccc} \vec{x} & \xrightarrow{f} & f(\vec{x}) \\ \downarrow \varphi(g) & & \downarrow \psi(g) \\ \varphi(g) \cdot \vec{x} & \xrightarrow{f} & \vec{y} \end{array}$$

# Equivariance of Functions



## Example (Image Sharpening)

Let  $f: \mathbb{R}^n \rightarrow \mathbb{R}^n$  be a function that sharpens images and  $\tau_\alpha: \mathbb{R}^n \rightarrow \mathbb{R}^n$  for  $\{\tau_\alpha\}_{\alpha \in A}$  be the group of  $90^\circ$  rotations under composition.  $f$  is in fact invariant under the group  $(\{\tau_\alpha\}_{\alpha \in A}, \circ)$ .

*The translation equivariance of CNNs has been instrumental in enabling this performance [over traditional deep neural networks]. However, the vulnerability of CNNs to transformations such as rotation and scaling remains a challenge, since standard CNNs do not learn features that are equivariant with respect to these transformations.*

Quote from [5].

# Equivariance in Images



Figure from [8].



# The Group $p4m$

The set of symmetries we are looking at is the wallpaper group  $p4m$ .

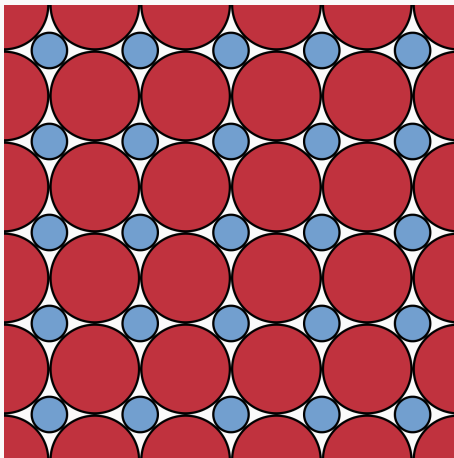


Figure from [4].

# Equivariance in Images

We want to take advantage of the  $p4m$  structure of images in our neural network classifier.

## Desideratum

If we have  $\mathcal{N} : \mathbb{R}^{32 \times 32 \times 3} \rightarrow [10]$  a neural network classifier of CIFAR-10 images, we want our convolutional layers to be  $p4m$  equivariant.

# Equivariance of Neural Networks

---



## Definition ( $G$ -Convolution)

Let  $f, g : G \rightarrow \mathbb{C}$  and  $G$  a compact group. The convolution of  $f$  with  $g$

$$(f * g)(u) = \int_G f(uv^{-1})g(v)d\mu(v)$$

where the integration is with respect to the Haar measure  $\mu$ .

## Theorem (Kondor and Trivedi) [6]

A neural network is equivariant to the action of a compact group  $G$  if and only if each layer of the neural network is a  $G$ -convolution.

The proof is quite technical, requiring knowledge of Fourier analysis on compact groups as well as representation theory.

# Universal Approximation in Neural Networks

Denote  $\Sigma^n(\sigma)$  the space of neural networks from  $\mathbb{R}^n \rightarrow \mathbb{R}$  for  $\sigma$  an activation function.

## Theorem (Cybenko)

Let  $K \subset \mathbb{R}^n$  be compact and  $f: K \rightarrow \mathbb{R}$  continuous. There exists  $\mathcal{N} \in \Sigma^n(\sigma)$  such that

$$\|\mathcal{N}(\vec{x}) - f(\vec{x})\|_\infty < \epsilon$$

for all  $\epsilon \in \mathbb{R}_{>0}$  and  $\vec{x} \in K$ .

This generalizes easily to find neural network approximations  $\mathcal{N} \in \Sigma^{n,m}(\sigma)$  approximating  $f: K \rightarrow \mathbb{R}^m$ .

# Approximation of Equivariant Functions?

## Question

Let  $f$  be an equivariant function. Does there exist  $\mathcal{N} \in$  “Some Space of Neural Networks” such that  $\|\mathcal{N} - f\|_\infty < \epsilon$ ?

## Theorem (Sannai, et. al.) [7]

Let  $G$  be a finite group which is a subgroup of  $S_n$ . Let  $K \subset \mathbb{R}^n$  be compact and stable for the corresponding  $G$ -action in  $\mathbb{R}^n$ . Then for any  $f: K \rightarrow \mathbb{R}^m$  by  $\vec{x} \mapsto (f_1(\vec{x}), \dots, f_m(\vec{x}))$  which is continuous and  $G$ -equivariant and any  $\epsilon \in \mathbb{R}_{>0}$  there exists some equivariant neural network  $\mathcal{N}_G^{\text{Equiv}}: K \rightarrow \mathbb{R}^m$  such that  $\|f - \mathcal{N}_G^{\text{Equiv}}\|_\infty < \epsilon$ .

- Show that an  $S_n$  equivariant function  $F : \mathbb{R}^n \rightarrow \mathbb{R}^m$  is actually  $(f, f \circ (1\ 2), \dots, f \circ (1\ n))$  for  $f$  a  $\text{Stab}(1)$  invariant function.
- So  $F$  is really the pointwise composition

$$F = (f, \dots, f) \circ (\varepsilon, (1\ 2), \dots, (1\ n)).$$

- We know that  $(f, \dots, f)$  can be approximated by a neural network  
– since invariant functions can be approximated by neural networks.

- The crux of the proof is showing  $(\varepsilon, (1\ 2), \dots, (1\ n)) : \mathbb{R}^n \rightarrow \mathbb{R}^{n \times n}$  can be approximated by neural networks as well.
- Construct a group action  $\mathcal{C}: S_n \times \mathbb{R}^{n \times n} \rightarrow \mathbb{R}^{n \times n} \implies \sigma = \tilde{\sigma}_i(1\ \sigma_i^{-1}(i))$  where  $\sigma \in S_n, \tilde{\sigma}_i \in \text{Stab}(1)$  where  $\tilde{\sigma}_i$  is unique.
- $(\varepsilon, (1\ 2), \dots, (1\ n)) : \mathbb{R}^n \rightarrow \mathbb{R}^{n \times n}$ , with some algebra, can be approximated by a neural network with  $\text{Stab}(1)$  invariant layers and is equivariant under  $S_n$ .  $\square$

# Equivariant Neural Networks “In the Wild”

Previous results in the literature show the superior performance of group-equivariant CNNs in image classification [1].

Network	$G$	CIFAR10	CIFAR10+	Param.
All-CNN	$\mathbb{Z}^2$	9.44	8.86	1.37M
	$p4$	8.84	7.67	1.37M
	$p4m$	7.59	7.04	1.22M
ResNet44	$\mathbb{Z}^2$	9.45	5.61	2.64M
	$p4m$	<b>6.46</b>	<b>4.94</b>	2.62M

Table 2. Comparison of conventional (i.e.  $\mathbb{Z}^2$ ),  $p4$  and  $p4m$  CNNs on CIFAR10 and augmented CIFAR10+. Test set error rates and number of parameters are reported.

Which is behavior I have observed in my testing.

Metric	$p4m$ -CNN	CNN
Cross-Entropy Loss	0.679	0.832
Classification Accuracy	77%	70%



## Conclusion

---






Here are some takeaways:

- CNNs are equivariant under  $\mathbb{Z}^2$ ,  $G$ -equivariant CNNs are equivariant under a finite group  $G$ .
- $G$ -equivariant neural networks, like (convolutional) neural networks, are universal approximators.






Here are some takeaways:

- There are packages to implement  $G$ -convolutions in *PyTorch* and *TensorFlow*.
- $G$ -convolutions provide significant performance improvements over CNNs.
- $G$ -equivariant neural networks are computationally expensive to implement ( $\sim 8$  times the memory).

Questions?

-  T. S. Cohen and M. Welling.  
**Group equivariant convolutional networks.**  
2016.
-  V. Feng.  
**Implicit equivariance in convolutional networks, 2017.**
-  K. He, X. Zhang, S. Ren, and J. Sun.  
**Deep residual learning for image recognition, 2015.**
-  T. Kennedy.  
**Compact packings of the plane with two sizes of discs.**  
2004.
-  N. Khetan, T. Arora, S. U. Rehman, and D. K. Gupta.  
**Implicit equivariance in convolutional networks, 2021.**

## References ii

-  R. Kondor and S. Trivedi.  
On the generalization of equivariance and convolution in neural networks to the action of compact groups, 2018.
-  A. Sannai, Y. Takai, and M. Cordonnier.  
Universal approximations of permutation invariant/equivariant functions by deep neural networks, 2019.
-  L. Veljanovski.  
Golden retriever has 'puppy tantrum' in adorable viral video.
-  E. Wagstaff and F. Fuchs.  
Cnns and equivariance.
-  C. Wolf.  
What is translation equivariance, and why do we use convolutions to get it?, 2020.